

# **INNOVATION & OPPORTUNITY CONFERENCE**

**ADVANCING AEROSPACE AND DEFENSE**  
November 14-15, 2019 | Aurora, Colorado

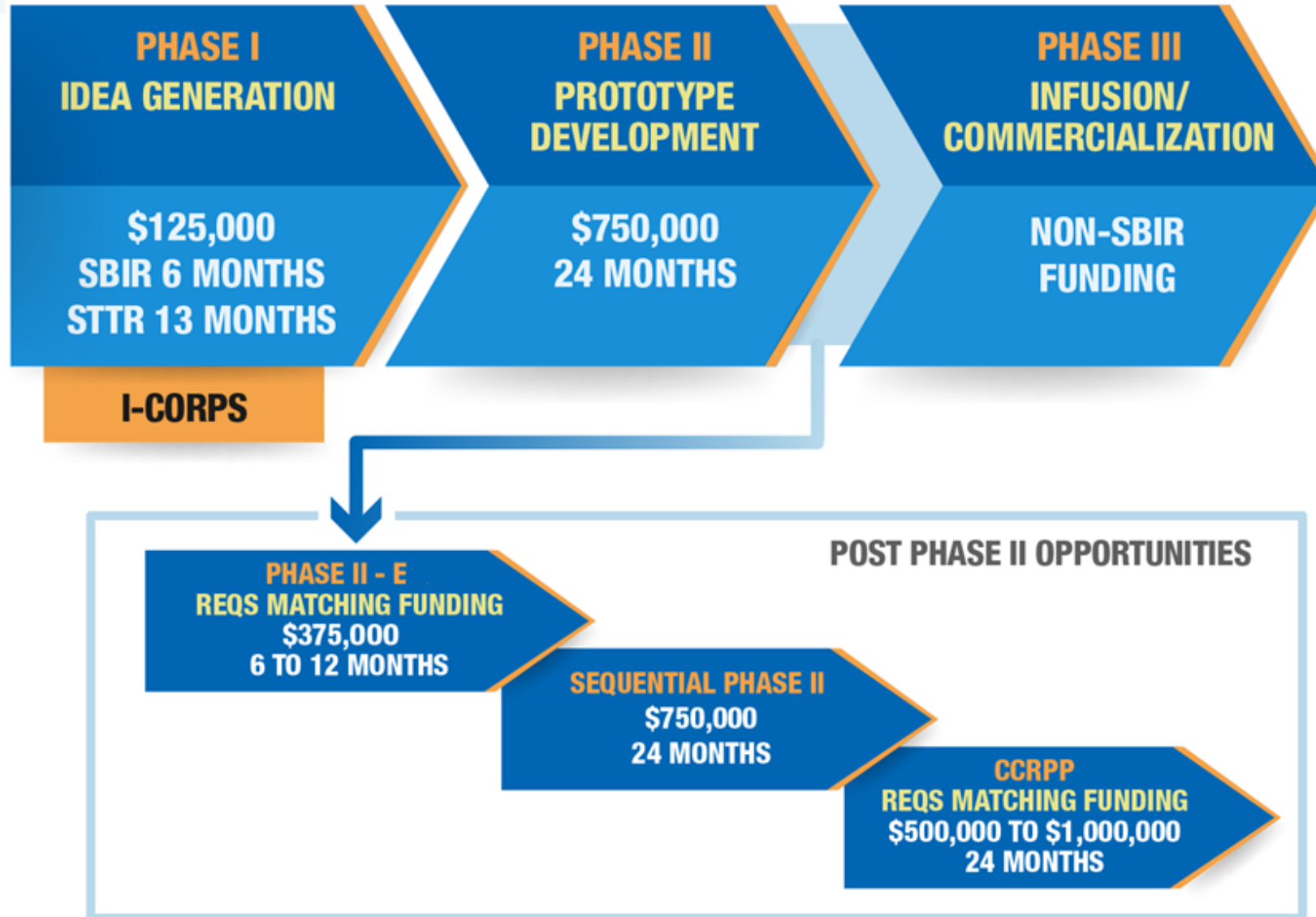


# NASA SBIR/STTR Post Phase II Opportunities

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# Program Overview



# Current Post Phase II Initiatives



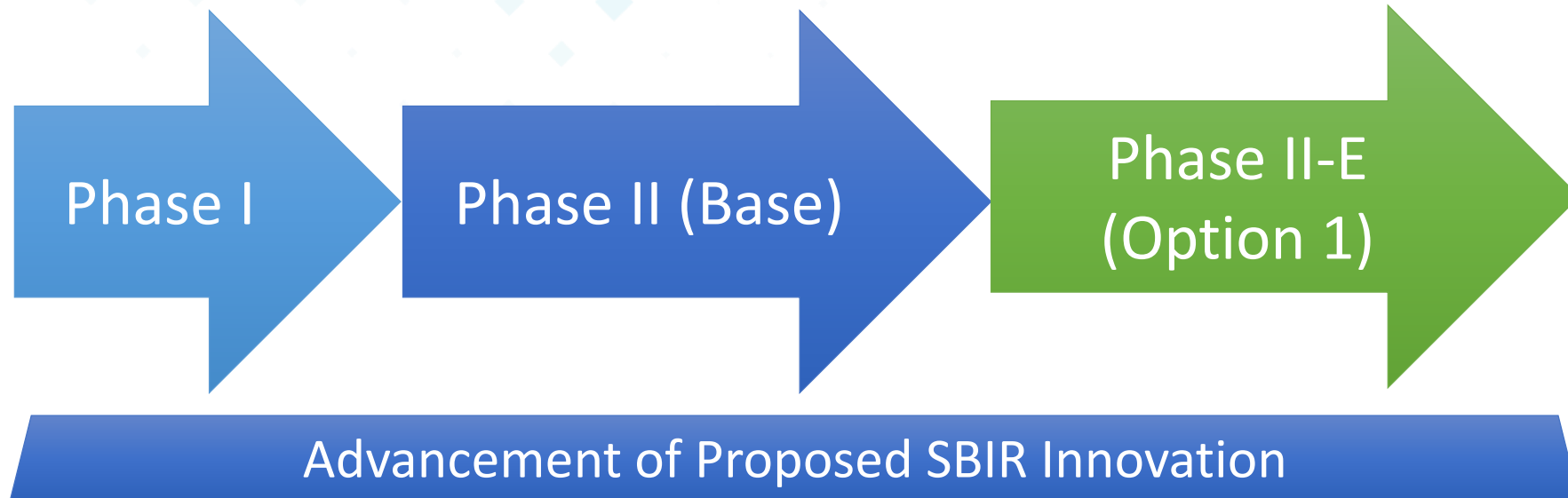
- Phase II Extended (II-E) Option
- CCRPP
- Sequential Phase II
- Phase III



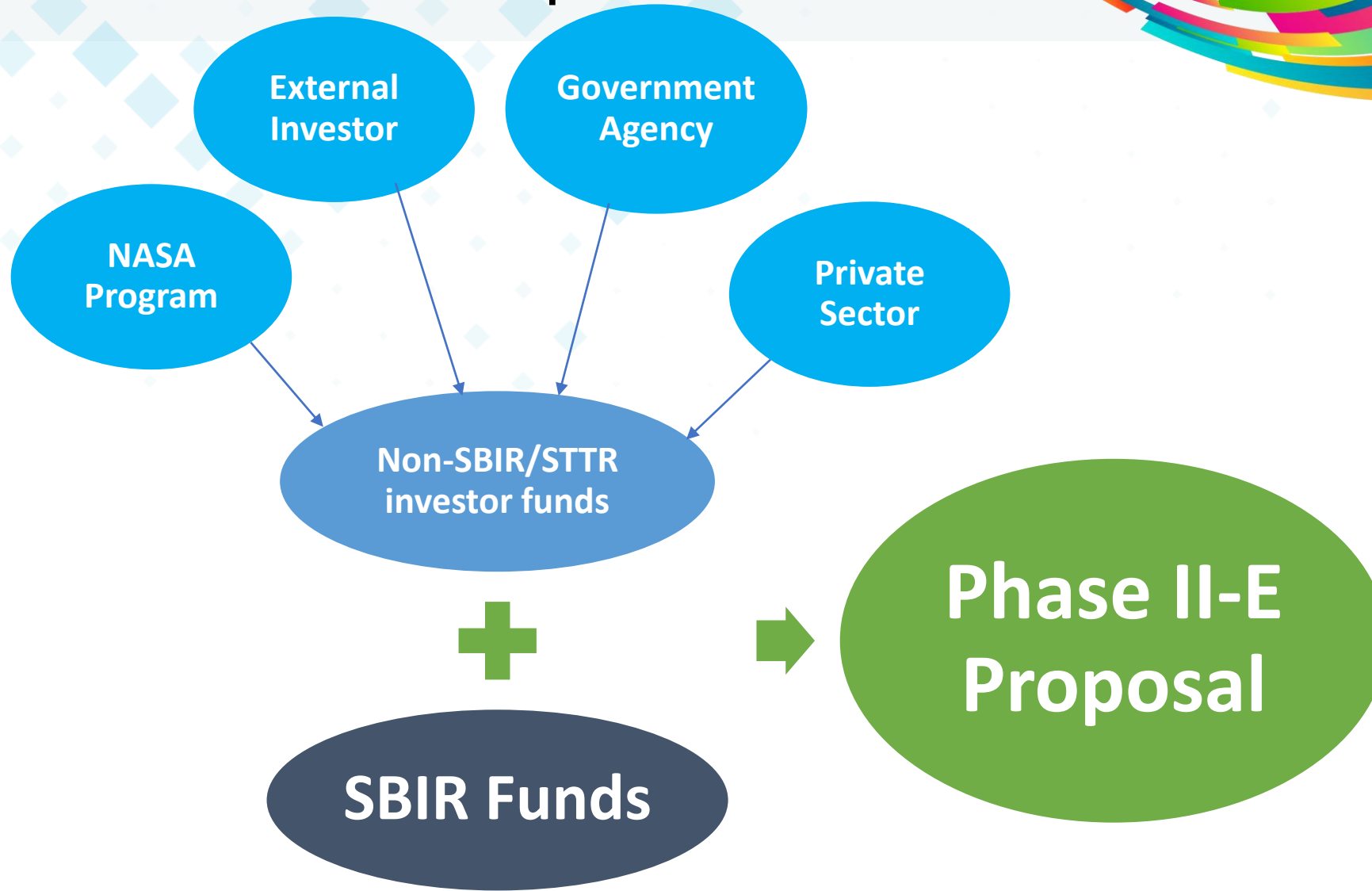
# Phase II Extended (II-E)

# Phase II-E Objective

“(…)encourage the advancement of innovations developed under Phase II via an option to further R/R&D efforts underway on active Phase II(…)”



# Phase II Extended II-E Option



# Funding Specifics of Phase II-E



<b>Applicable Period / Solicitation</b>	<b>Minimum Non-SBIR/STTR Funding Required</b>	<b>Corresponding SBIR/STTR Program Contribution</b>
2016 Solicitation - onwards	\$25,000.00	1:1 match to a maximum of \$375,000

<b>Maximum Cumulative Award ( Phase II + Phase II-E Match )</b>	<b>Phase II-E Submission Period</b>	<b>Anticipated Period Of Additional Performance</b>
\$1,125,000.00 (SBIR and STTR)	Starts after the 12 <sup>th</sup> month of performance and ends 60 days before contract end date	6-12 Months

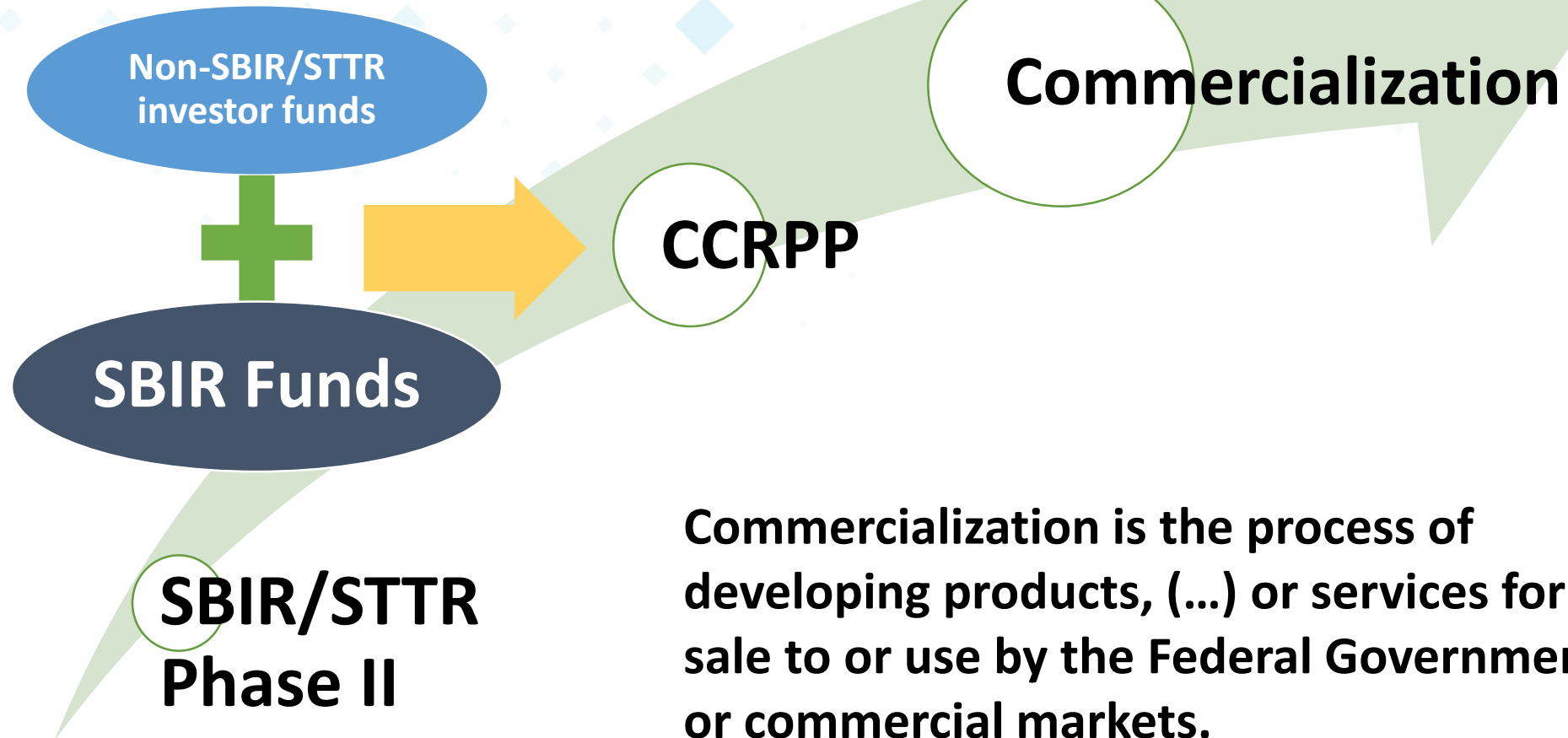




# The Civilian Commercialization Readiness Pilot Program (CCRPP)

# CCRPP Purpose

Accelerate SBIR and STTR funded tech to commercialization.



**Commercialization is the process of developing products, (...) or services for sale to or use by the Federal Government or commercial markets.**

# Who Can Apply to CCRPP?

NASA's CCRPP is available to small business concerns that:

1. **Have a completed SBIR/STTR Phase II award from NASA** selected from solicitations issued from **2010 through 2015**;
2. **Have not yet received a CCRPP or CRP** award from any federal Agency for the Phase II technology associated with the Phase II award in item 1;
3. **Have secured an investor(s)** willing to contribute matching funding to the maturation of the technology detailed in the application of research related to NASA interests. Investor(s) must be **external to the NASA SBIR/STTR Program**, which may include such entities as another company, a venture capital firm, an individual investor, a non-SBIR/non-STTR government program, or any combination of the above. An external investor **cannot include the owners of the small business**, their family members, **and/or "affiliates"** of the small business, as defined in Title 13 of the Code of Federal Regulations (C.F.R.), Section 121.103.

# CCRPP Details



<b>Applicable Period / Solicitation (Will be updated upon next release)</b>	<b>Minimum Investor Funding Required</b>
Phase II awards made from the Program Year 2010 – 2015 Solicitations are eligible to apply for the 2020 CCRPP application period	\$500,000.00

<b>Corresponding SBIR/STTR Program Contribution</b>	<b>CCRPP Application Period</b>	<b>Anticipated Period Of Additional Performance</b>
1:1 match to a maximum of \$1,000,000.00	November 4, 2019 through December 16, 2019	24 months, as a general guideline



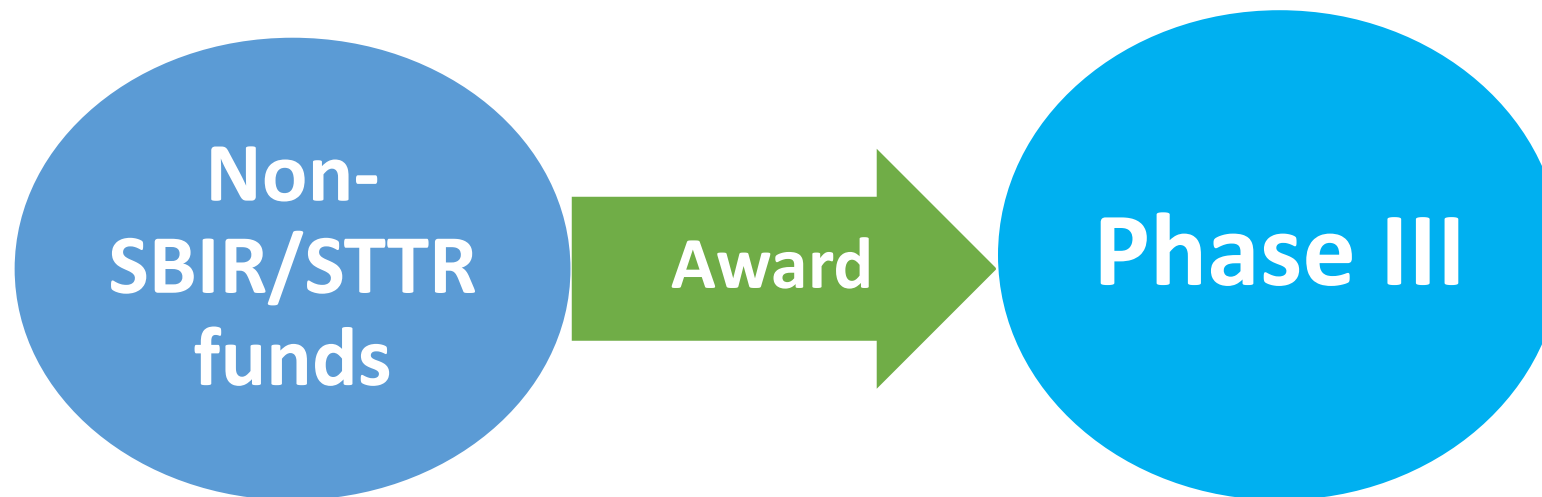
# Sequential Phase II



# Phase III

# What is a Phase III?

“SBIR Phase III refers to work that derives from, extends, or completes an effort made under prior SBIR funding agreements, but is **funded by sources other than the SBIR Program**. Phase III work is typically oriented towards commercialization of SBIR research or technology.” – SBIR Policy Directive



# Some Amazing Features of a Phase III!



Accorded all  
**SBIR Data Rights**

**No further competition  
required**  
after Phase I or II

**No limit on the  
number, duration,  
type, or \$ value**

**No limit on the time  
that may elapse  
between a Phase I or  
Phase II and Phase III,  
or more Phase IIIs**

**Any Federal agency  
may enter into a Phase  
III SBIR agreement at  
any time with a Phase I  
or II awardee**

**The small business size  
limits for Phase I and  
Phase II awards do not  
apply to Phase III  
awards**





Thank you!

For more information please visit:

<https://sbir.nasa.gov/content/post-phase-ii-initiatives>

Questions?