STTR 2012 Phase I Form A - Proposal Coversheet

Please **read the instructions** (opens in new window) carefully before proceeding. If you used the browser's BACK button to come to this page, please reload/refresh now.

Proposal Number:	T1.01-9941
Research Subtopic:	T1.01--Synthetic Biology for Space Exploration
Proposal Title:	Test

SBC		RI	
Name:	Test Firm	Name:	
Address:	Main Street	Address:	
City:	City	City:	
State/ZIP:	VA 00000 - 0000 Need help for ZIP+4?	State/ZIP:	-- State-- - Need help for ZIP+4?
Phone:	(555) 555 - 5555	Phone:	( ) -
Fax:	( ) -	Fax:	( ) -
EIN/TAX ID:	043181386	EIN/TAX ID:	

ACN Name: (Authorized Contract Negotiator)	
ACN E-mail:	
ACN Phone:	( ) - Extension:
DUNS + 4:	- What is DUNS? (9-digit Data Universal Number System; a 4-digit suffix is also required if owned by a parent concern.)
CAGE Code:	Get CAGE from Central Contractor Registration(CCR)
Amount Requested:	(auto-populated upon completion of Budget Form C)
Duration:	months

Please read carefully the following certification statements. The Federal government relies on the information to determine whether the business is eligible for a Small Business Innovation Research (SBIR) Program award. A similar certification will be used to ensure continued compliance with specific program requirements during the life of the funding agreement. The definitions for the terms used in this certification are set forth in the Small Business Act, SBA regulations (13 C.F.R. Part 121), the SBIR Policy Directive and also any statutory and regulatory provisions referenced in those authorities.

If the funding agreement officer believes that the business may not meet certain eligibility requirements at the time of award, they are required to file a size protest with the U.S. Small Business Administration (SBA), who will determine eligibility. At that time, SBA will request further clarification and supporting documentation in order to assist in the verification of any of the information provided as part of a protest. If the funding agreement officer believes, after award, that the business is not meeting certain funding agreement requirements, the agency may request further clarification and supporting documentation in order to assist in the verification of any of the information provided.

Even if correct information has been included in other materials submitted to the Federal government, any action taken with respect to this certification does not affect the Government's right to pursue criminal, civil or administrative remedies for incorrect or incomplete information given in the certification. Each person signing this certification may be prosecuted if they have provided false information.

SBC HAS REVIEWED, VERIFIED AND CERTIFIES THAT:

a. During the performance of the contract, the Principal Investigator will spend more than one half of his/her time as an employee of the awardee or the Research Institution (based on a 40 hour workweek). If no, the offeror must request a written deviation from this requirement from the funding agreement officer. Note: The Principal Investigator's tasks cannot be split between two people. Co-PIs are not acceptable. Refer to section 1.5.3. ○ Yes ○ No

b. Gender of the Principal Investigator ○ Male ○ Female

c. Is the Principal Investigator a socially and economically disadvantaged individual? ○ Yes ○ No

d. All, essentially equivalent work, or a portion of the work proposed under this project (check the applicable line)

☐ Has not been submitted for funding by another Federal agency.

☐ Has been submitted for funding by another Federal agency but has not been funded under any other Federal grant, contract, subcontract or other transaction. (Complete section i below)

☐ A portion has been funded by another grant, contract, or subcontract as described in detail in the proposal. Before award, this must be approved in writing by the funding agreement officer. (Complete section ii below)

☐ Has received funding for essentially equivalent work under this project by any other Federal grant, contract, or subcontract.

i) If submitted for other Federal funding, provide information on essentially equivalent proposal submissions below:

Proposal No.	Proposal Title	Date of Submission (MM/DD/YYYY)	Soliciting Agency	(Anticipated) Selection Announcement Date (MM/DD/YYYY)

ADD LINES

ii) If a portion has been Federally funded by another grant, contract, or contract, provide information on essentially equivalent proposal submissions below

Contract/ Grant No.	Proposal Title	Date of Submission (MM/DD/YYYY)	Awarding Agency	Date of Award (MM/DD /YYYY)

ADD LINES

e. During the performance of the contract, the SBC will perform at least 40% of the applicable percentage of work and the RI will perform at least 30% of the applicable percentage of work* ○ Yes ○ No

f. During the performance of the contract, the research/research and development will be performed in the United States* ○ Yes ○ No

* In rare occasions, minor deviations from this requirement may be necessary; however, any minor deviation must be approved in writing by the contracting officer after consultation with the agency STTR Program Manager/Business Manager.

g. During the performance of the contract, the research/research and development will be performed at the offeror's facilities by the offeror's employees except as otherwise indicated in the STTR technical proposal ○ Yes ○ No

As described in section 2.17 of the Solicitation, the partnering Research Institution qualifies as a:

h. FFRDC ○ Yes ○ No

i. Nonprofit research institute ○ Yes ○ No

j. Nonprofit college or university ○ Yes ○ No

As described in section 3 of the Solicitation, the offeror meets the following requirements completely:

k. Research Agreement electronically endorsed by the SBC and RI ○ Yes ○ No

l. A Signed Allocation of Rights Agreement will be available for the Contracting Officer no more than 10 business days from time of notification of selection for negotiations ○ Yes ○ No

m. All 11 parts of the technical proposal are included in part order and the page limitation is met ○ Yes ○ No

n. Subcontracts/consultants proposed? (Other than the RI) ○ Yes ○ No

i) If yes, does the proposal comply with the subcontractor/consultant limitation? (Section 3.2.4 Part 9) ○ Yes ○ No ◉ N/A

o. Government equipment or facilities required (cannot use STTR funds)? ○ Yes ○ No

i) If yes, is justification for the use uploaded in Form C? ○ Yes ○ No ◉ N/A

ii) If yes, is a signed statement of availability uploaded in Form C? ○ Yes ○ No ◉ N/A

In accordance with ITAR, 22 CFR 120-130, as applicable:

p. The offeror understands and shall comply with export control regulations ○ Yes ○ No

In accordance with FAR 52.223-13, FAR 52.223-3, 29 CFR 1910.1200(g) and the latest version of Federal Standard No. 313 as applicable, indicate if the following will be used (must comply with Federal regulations):

q. Toxic Chemicals ○ Yes ○ No

r. Hazardous Materials ○ Yes ○ No

As referenced in section 1.2 of the Solicitation, indicate if the R&D to be performed is related to

s. Renewable Energy ○ Yes ○ No

t. Manufacturing ○ Yes ○ No

Disclosure permission:

u. Will you permit the Government to disclose your name, address, and telephone number of the business official of your concern, if your proposal does not result in an award, to appropriate local and State-level economic development organizations that may be interested in contacting you for further information? ○ Yes ○ No

The SBC will perform % of the work and the RI will perform % of the work of this project.

As a representative of the offeror, I certify the following:

☐ The offeror will notify the Federal agency immediately if all or a portion of the work proposed is subsequently funded by another Federal agency.

☐ I understand that the information submitted may be given to Federal, State and local agencies for determining violations of law and other purposes.

☐ I am an officer of the business concern authorized to represent it and sign this certification on its behalf. By signing this certification, I am representing on my own behalf, and on behalf of the business concern that the information provided in this certification, the application, and all other information submitted in connection with this application, is true and correct as of the date of submission. I acknowledge that any intentional or negligent misrepresentation of the information contained in this certification may result in criminal, civil or administrative sanctions, including but not limited to: (1) fines, restitution and/or imprisonment under 18 U.S.C. §1001; (2) treble damages and civil penalties under the False Claims Act (31 U.S.C. Sect 3729 et seq.); (3) double damages and civil penalties under the Program Fraud Civil Remedies Act (31 U.S.C. Sect 3801 et seq.); (4) civil recovery of award funds, (5) suspension and/or debarment from all Federal procurement and non-procurement transactions (FAR Subpart 9.4 or 2 C.F.R. part 180); and (6) other administrative penalties including termination of SBIR/STTR awards.

Endorsements:

Principal Investigator:	
Name:	
Title:	
Phone:	( ) - Extension:
E-mail:	
Endorsed by:	
Date:	

Corporate/Business Official	
Name:	First Last
Title:	Business Official
Phone:	(555) 555 - 5555 Extension:
E-mail:	jmohanta@reisys.com
Endorsed by:	
Date:	

PROPRIETARY NOTICE (If applicable, see sections 5.5, 5.6)

NOTICE: This data shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed in whole or in part for any purpose other than evaluation of this proposal, provided that a funding agreement is awarded to the offeror as a result of or in connection with the submission of this data, the Government shall have the right to duplicate, use or disclose the data to the extent provided in the funding agreement and pursuant to applicable law. This restriction does not limit the Government's right to use information contained in the data if it is obtained from another source without restriction. The data subject to this restriction are contained in pages of this proposal.

Note: Do not mark the entire proposal as proprietary. Form B (page 2 of your proposal submission) cannot contain proprietary data. (See section 3.2.3 of the 2012 Solicitation)

UPDATE COVERSHEET INFORMATION